

**MOSER
PATTERSON &
SHERIDAN, LLP**

ATTORNEYS AT LAW

350 Cambridge Ave, Suite 250
Palo Alto, CA 94306-1550
TEL 650.330.2310
FAX 650.330.2314
WWW.MPSLLP.COM

FACSIMILE COVER SHEET

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DATE: September 20, 2004**FILE NO:** ELEM/002**TO:****FAX NO:** 703.872.9306**PHONE NO:****COMPANY:** USPTO**FROM:** John C. Carey**PAGE(S) with cover:** 4**ORIGINAL TO FOLLOW?** YES NO**INFORMATION DISCLOSURE STATEMENT**

| | |
|--------------------------|--|
| TITLE: | System and Method for Providing an Enterprise-Based Computer Security Policy |
| U.S. SERIAL NO.: | 10/726,466 |
| FILING DATE: | 12/2/03 |
| INVENTOR: | Daniel G. Farmer |
| EXAMINER: | Unknown |
| GROUP ART UNIT: | 2131 |
| CONFIRMATION NO.: | 5863 |

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PATENT
Atty. Dkt. ELEM/002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
 Daniel G. Farmer

Serial No.: 10/726,466

Confirmation No.: 5863

Filed: 12/2/03

For: SYSTEM AND METHOD FOR
 PROVIDING AN ENTERPRISE-
 BASED COMPUTER
 SECURITY POLICY

Mail Stop Amendment
 Commissioner for Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450

§ Group Art Unit: 2131

§ Examiner: Unknown

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| 9/20/04 | John C. Carey |
| Date | |

Dear Sir:

INFORMATION DISCLOSURE STATEMENT

The Applicants, and the Attorney who signs below on the basis of the information supplied by the inventor and the information in his file, submit herewith patents, publications, or other information of which they are aware, which may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

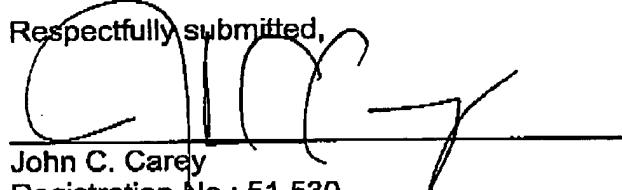
While the information submitted in this Information Disclosure Statement may be material pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication, or other information referred to therein is prior art for this invention unless specifically designated as such.

In accordance with 37 CFR § 1.97, this Information Disclosure Statement is not to be construed as a representation that a search has been made or that no other possibly material information as defined under 37 CFR § 1.56(a) exists.

The patents and/or publications submitted herewith are set forth on the attached Form PTO-SB08a.

If the sum of \$180.00 is due under 37 CFR § 1.17(p) pursuant to § 1.97, the Commissioner is hereby authorized to charge this fee, and any other fee necessary to make this submission timely, to the Deposit Account No.: 20-0782/ELEM/002/JC.

Respectfully submitted,



John C. Carey
Registration No.: 51,530
MOSER, PATTERSON & SHERIDAN, L.L.P.
3040 Post Oak Blvd, Suite 1500
Houston, TX 77056
Telephone: (650) 330-2310
Facsimile: (650) 330-2314
Attorney for Applicant(s)

PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

Approved for use through October 1978. GPO 80-500
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|--|---|--------------------------|------------------|
| Substitute for form 1449A/PTO | | Complete if Known | |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i> | | Application Number | 10/726,466 |
| | | Filing Date | December 2, 2003 |
| | | First Named Inventor | Daniel G. Farmer |
| | | Art Unit | 2131 |
| | | Examiner Name | Unknown |
| Sheet | 1 | of | 1 |
| | | Attorney Docket Number | ELEM/002 |

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

| | | |
|-------------------------------|----------------------------|--|
| Examiner Signature | Date Considered | |
|-------------------------------|----------------------------|--|

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